



OREGON DEPARTMENT OF JUSTICE

Telephonic Seller Registration Form

GENERAL INSTRUCTIONS

- * You **MUST** submit this Registration Form and the \$400.00 registration fee to:
Oregon Department of Justice
Financial Fraud/Consumer Protection Section
Telemarketing
1162 Court Street NE
Salem, OR 97301

- * References noted in the Registration Form are to Oregon Laws 1989, Chapter 622, and Oregon Administrative Rules 137-020-0200 through 137-020-0205.

- * Registration Forms are good for one year from the date of Registration. If there are any updates, changes, or modifications to the information you have supplied prior to the Registration expiration date, you are required to complete an addendum and submit it to the Department of Justice. This must be completed within 10 days of the change. The addendum may be in letter form and must detail all material changes. Changes in sales staff soliciting on your behalf shall be updated by addendums filed on a quarterly basis, computed from the effective date of registration. The quarterly updates should include information for all sales staff (both employee and independent contractors) who solicited on your behalf during the previous reporting quarter. Include all sales staff who were employed during the reporting quarter whether or not they are still employed at the time of filing per OAR 137-20-201(4). Individual sales staff are not required to file a registration form; the form that is filed by you will cover all sales staff soliciting on your behalf.

- * Registration Forms must be submitted and accepted within 10 days of doing business in Oregon per OAR 137-20-201(1).

- * Within 20 days of receipt of the registration form, the Department of Justice will do one of the following: register your company, request additional information from you, or deny the registration request. If you have not received anything in writing from the Department of Justice within the 20 day period, it is your responsibility to verify with our office the status of your request for registration. If your registration is accepted and you have more than one business location, a conformed copy of the Registration Form will be sent for each of the addresses listed on the registration form. **WITHIN 10 DAYS OF RECEIPT, YOU MUST POST, IN A CONSPICUOUS PLACE AT EACH OF THE BUSINESS LOCATIONS, THE CONFORMED COPY OF THE FIRST PAGE OF THE REGISTRATION FORM.** A copy of the entire registration form packet must be kept at each business location(s) listed on the request. You must also post in close proximity to the conformed registration form the name of the individual(s) in charge of each business location in this state. See OAR137-20-201(5).

- * "I" "my" "we" "us" or "our" in the Registration Form means "you, the telephonic seller" and where appropriate includes your sales staff and representatives. This applies to you regardless of whether you are a corporation, partnership, sole proprietorship or operating under a fictitious name. When one or more boxes (" ") appear in a question, check all that apply to your particular situation.

- * If more space is needed for answering questions, attach a separate sheet of paper and title it with the question number you are answering. Use a separate sheet of paper for each question you are answering.

- * If you have additional questions, please contact the Department of Justice at (503) 934-4400.

FOR OFFICIAL USE ONLY

1.	Date Registration Submitted:		
2.	Registration Period Valid for 1 year beginning on:		
3.	This filing is made on behalf of: <i>(The name that will be used when contacting the public)</i>		
4.	We also do business under the following names:		
	Legal Name of your Business:		
5.	Please provide a brief description of what you sell:		
6.	A) Our business is a <u>Corporation or LLC</u> ; we are registered in: <i>(List the State in which you are incorporated or organized)</i>		
	B) Our business is a <u>Partnership</u> ; under the laws of: <i>(List the State in which the Partnership was founded)</i>		
	C)	Fictitious Business Name:	
		Date the Name was Registered:	
		The Fictitious Business Name was registered by: <i>(Name of individual who registered the fictitious name)</i>	
	The Fictitious Name was Registered in the State of:		
7.	Please provide the complete street address of the principal location from which sales will be solicited:		
8.	We will also be soliciting sales from the following address(es):		
9.	Please provide your Mailing Address if different from the answer in question #7:		

If your business is listed as a Corporation, Limited Liability Company, Partnership or Proprietorship, the following information is required for each Officer, Member, Director, Trustee, General Partner, Limited Partner, Sole Proprietor and Owner: *(Attach additional pages if needed)*

10.	A)	Name:		Date of Birth:	
		Office Held:		Ownership Interest:	
		Driver's License #:		State of Issuance:	
		Complete Address of his/her principal residence:			
	B)	Name:		Date of Birth:	
		Office Held:		Ownership Interest:	
		Driver's License #:		State of Issuance:	
		Complete Address of his/her principal residence:			
	C)	Name:		Date of Birth:	
		Office Held:		Ownership Interest:	
		Driver's License #:		State of Issuance:	
		Complete Address of his/her principal residence:			

Please provide the detailed information referenced below for any individual listed in the previous question if these statements apply: (Attach additional pages if needed)

- (1) Has been convicted of a felony or misdemeanor, or pled nolo contendere (no contest), to a charge alleging fraud, theft, embezzlement, fraudulent conversion, or misappropriation of property; or
Has had entered against him or her a final judgment or order in a civil or administrative action, including a stipulated judgment or order, if the complaint or petition in the civil or administrative action alleged acts constituting a violation of
- (2) this telephonic seller law, fraud, theft, embezzlement, fraudulent conversion, or misappropriation of property, the use of untrue or misleading representations in an attempt to sell or dispose of real or personal property, or the use of unfair, unlawful or deceptive business practices; or
- (3) Is subject to any currently effective injunction or restrictive court order relating to business activity as the result of an action brought by a federal, state or local public agency or unit thereof, including, but not limited to, an action affecting any vocational license.

11.	A)	Individual's Name:	
		Court or Administrative Agency Rendering Decision, Judgment, or Order:	
		Docket #:	
		Date of Conviction, Judgment, or Order:	
	B)	Individual's Name:	
		Court or Administrative Agency Rendering Decision, Judgment, or Order:	
		Docket #:	
		Date of Conviction, Judgment, or Order:	

Please provide the the information referenced below for the Applicant of this Registration.
(Attach additional pages if needed)

12.	A)	Name:	
		Court or Administrative Agency Rendering Decision, Judgment, or Order:	
		Docket #:	
		Date of Conviction, Judgment, or Order:	
		Name of Governmental Agency which brought the action:	
	B)	Name:	
		Court or Administrative Agency Rendering Decision, Judgment or, Order:	
		Docket #:	
		Date of Conviction, Judgment, or Order:	
		Name of Governmental Agency which brought the action:	
13.		Attached and marked Exhibit 1 is a copy of all scripts we provide to those soliciting for us.	
		We do not provide a script for individuals soliciting for us.	
14.		Attached and marked Exhibit 2 is a copy of all written material we send to any prospective or actual purchaser.	
		We do not provide any written material to any prospective or actual purchaser.	

Do you or your Sales Staff indicate to your Buyers or prospective Buyers that they will:

Please list all items, whether the items are referred to as gifts, premiums, bonuses, prizes or other. (Attach additional pages if needed).

15.		Receive specific items in one shipment or portions of that item in different shipments?
		Receive a certificate in which the buyer must use to redeem the item described?
Example	*Item:	5" Portable TV Model #4323 (Details of item offered)
	Value:	\$119.95 (Price of value or worth)
	Basis:	Sells for this price at major department stores (Basis of valuation)
	Price:	\$65.00 (Price we paid for the item)
	Supplier:	MNO Imports (Name, Address, City, State, Zip Code & Phone#)
16.	1	*Item:
		Value:
		Basis:
		Price:
		Supplier:
	2	*Item:
		Value:
		Basis:
		Price:
		Supplier:
17.		Attached and marked Exhibit 3 is a copy of all rules, regulations, terms, and conditions a prospective buyer must meet in order to receive the items referenced in the previous question.
18.		We do not sell or offer to sell any metal, coins, stone or minerals.
		We sell, metals, coins, stones and or minerals. The name(s), address(s), telephone numbers(s) and description of items(s) supplied by vendor(s) is as follows: (Attach additional pages if needed)
Example		John Goldhand (Vendor Name) 1234 Golden Road (Vendor Address) Golden, OR 97000 (Vendor City, State, Zip) (503) 000-0000 (Vendor Phone #) Item: We purchase .999 pure silver from this vendor (Purchased Item Description)
19.	1.	
	2.	
	3.	
	4.	
	5.	



All Principals of the Telephonic Seller Must Sign & Date this Verification

Signature:		Signature:	
Print Name:		Print Name:	
Date:		Date:	
Signed at: City/State		Signed at: City/State	

Signature:		Signature:	
Print Name:		Print Name:	
Date:		Date:	
Signed at: City/State		Signed at: City/State	

Signature:		Signature:	
Print Name:		Print Name:	
Date:		Date:	
Signed at: City/State		Signed at: City/State	

Signature:		Signature:	
Print Name:		Print Name:	
Date:		Date:	
Signed at: City/State		Signed at: City/State	

